

TC - summary risk register

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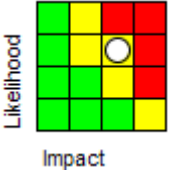
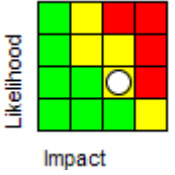

Rows are sorted by Risk Score

Code & Title: CR Corporate Risk Register 2

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR01 Resilience Risk 20-Mar-2015 John Barradell	<p>Cause - Lack of appropriate planning, leadership and coordination</p> <p>Event - Emergency situation related to terrorism or other serious event/major incident is not managed effectively</p> <p>Effect - Major disruption to City business, failure to support the community, assist in business recovery. Reputational damage to the City as a place to do business.</p>	<p>Likelihood</p> <p>Impact</p>	12	<p>Risk re-rated from amber 8 to amber 12. This change has been made following a review of the robustness Corporation's own business continuity plans (BCP) and the IT network assumptions on which some actions in the BCPs are based.</p> <p>04 April 2016</p>	<p>Likelihood</p> <p>Impact</p>	8	31-Mar-2017	<p>↑</p> <p>Increased Risk Score</p>

Action no, Title, Owner	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR01A Emergency Exercise	Full exercise (Allowus) to test the emergency and business continuity plans across the organisation. The exercise will involve the emergency services	This action is now complete	Gary Locker	29-Jan-2016	11-Jun-2015
CR01B Corporate review of Business Continuity	Prepare and complete a report for the Summit Group, based on the findings of a review of departmental business continuity planning	This action is now complete	Gary Locker	29-Jan-2016	30-Nov-2015

planning					
CR01C Exercise Unified Response	Large scale multi-agency exercise which will test the CoL's Borough Emergency Co-ordination Centre (BECC)	Currently, the resilience team are participating on behalf of the organisation in a major, multi-agency exercise 'Unified Response' The exercise has now been completed. The learning from the exercise will be fed back and applied to the City of London Corporation's business continuity planning processes	Gary Locker	4- Apr 2016	01-Jun-2016
CR01D	Elimination of single points of failure, resulting in loss of services	Working with the IS Division, remove potential single points of failure from business planning processes.	Gary Locker	4-Apr 2016	01-Dec 2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR09 Health and Safety Risk 22-Sep-2014 Chrissie Morgan	Cause - Safety is treated as a low priority by the organisation, lack of training of staff and managers, management complacency, poor supervision and management Event - Statutory regulations and internal procedures relating to Health and Safety breached and/or not complied with. Effect - Possible enforcement action/ fine/prosecution by HSE, Employees/visitors/contractors may be harmed/injured, Possible civil insurance claim, Costs to the Corporation, Adverse publicity /damage to reputation, Rectification costs		12	This risk was reviewed by the SMT on 28/03/16, no change to the assessment at this time. The external accreditation exercise by the British Safety Council resulted in the City of London Corporation being awarded 4 star status 4 April 2016		8	31-Mar-2017	 No change

Action no, Title, Owner	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR09A External Verification	External verification of the CoL's safety management system	British Safety Council have completed the audit. The organisation has been awarded 4 star status. This action is now closed.	Oliver Sanandres	04-Apr-2016	29-Feb-2016
CR09B Compliance Audits	Rolling programme of departmental compliance audits conducted by the Corporate Health and Safety Unit	Audits currently ongoing. Report being prepared for H&S Committee. The 2016-17 programme of audits will commence 1 April 2016.	Oliver Sanandres	04-Apr-2016	31-Mar-2016